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# COMPETITIVENESS

## Dodd-Frank Act of 2010

### Summary of Rulemaking, Studies, and Congressional Reports by Title

**Totals:** 533 rules, 60 studies, 93 Congressional reports

#### **Rules by Agency:**

SEC: 205

Consumer Financial Protection Board: 70

CFTC: 54

Federal Trade Commission: 45

Federal Reserve: 30

GAO: 19

Federal banking agencies: 17

Treasury: 15

FDIC: 11

Board of Trade: 9

Financial Stability Oversight Council: 6 rules

Federal Financial Institutions Examination Council: 5

Prudential regulators: 5

Office of the Comptroller of the Currency: 4

Federal Courts: 1

Federal agencies: 1

State regulators: 1

#### **Title I— Financial Stability**

- 26 rules, 1 study, 11 Congressional reports
  - Financial Stability Oversight Council
    - 6 authoritative rulemaking
    - 2 studies
    - 8 reports
  - Federal Reserve
    - 2 mandatory rulemakings; 5 authoritative rulemakings
    - 2 reports
  - Office of the Comptroller of the Currency:
    - 1 authoritative rulemaking
  - Treasury
    - 1 authoritative rulemaking
  - SEC
    - 2 mandatory rulemakings; 9 authoritative rulemakings

## **Title II— Orderly Liquidation Authority**

- 15 rules, 1 study, 2 Congressional reports
  - The Court
    - 1 mandatory rulemaking
    - 1 study
    - 1 report
  - Federal Reserve
    - 1 mandatory rulemaking
  - FDIC
    - 6 mandatory rulemakings; 2 authoritative rulemakings
    - 1 report
  - SEC
    - 2 mandatory rulemakings; 3 authoritative rulemakings

## **Title III— Transfer of Powers to the Comptroller of the Currency, the Corporation, and the Board of Governors**

- 46 rules, 0 studies, 1 Congressional report
  - FDIC
    - 15 authoritative rulemakings
  - Office of the Comptroller of the Currency
    - 1 mandatory rulemaking; 15 authoritative rulemakings
  - Federal Reserve
    - 1 mandatory rulemaking; 14 authoritative rulemakings

## **Title IV— Regulation of Advisors to Hedge Funds and Others**

- 26 rules, 3 studies, 5 Congressional reports
  - SEC
    - 10 mandatory rulemakings, 12 authoritative rulemakings
    - 1 study
    - 2 reports
  - CFTC
    - 1 mandatory rulemaking, 1 authoritative rule making
    - 1 study
    - 1 report
  - GAO
    - 2 authoritative rulemakings
    - 1 study
    - 2 study

## **Title V— Insurance**

- 9 rules, 2 studies, 3 Congressional reports
  - Treasury
    - 1 mandatory rulemaking, 7 authoritative rulemakings
    - 1 study
    - 2 reports
  - GAO
    - 1 authoritative rulemaking
    - 1 study
    - 1 report

## **Title VI— Improvements to the Regulation of Bank and Savings Association Holding Companies and Depository Institutions**

- 24 rules, 6 studies, 4 Congressional reports
  - SEC
    - 4 mandatory rulemaking
    - 1 study
    - 1 report
  - Appropriate Federal bank agencies
    - 3 mandatory rulemakings, 11 authoritative rulemakings
    - 2 studies
    - 2 reports
  - Federal Reserve
    - 4 mandatory rulemakings
    - 2 studies
  - CFTC
    - 2 mandatory rule makings
    - 1 study
    - 1 report

## **Title VII— Wall Street Transparency and Accountability**

- 128 rules, 5 studies, 4 Congressional reports
  - SEC
    - 40 mandatory rulemakings, 24 authoritative rulemakings
    - 1 study
    - 1 report
  - CFTC
    - 23 mandatory rulemakings; 25 authoritative rulemakings
    - 3 studies
    - 2 reports
  - Prudential regulators
    - 2 mandatory rulemakings, 3 authoritative rulemakings
  - Board of Trade
    - 3 mandatory rulemakings, 6 authoritative rulemakings
  - Interagency working group (SEC, CFTC, Treasury, Agriculture, EPA, FERC, FTC, Energy Information Administration)
    - 1 authoritative rulemaking
    - 1 study
    - 1 report

## **Title VIII— Payment, Clearing, and Settlement Supervision**

- 6 rules, 0 studies, 0 Congressional reports
  - SEC
    - 1 authoritative rulemakings
  - CFTC
    - 1 authoritative rulemakings
  - Federal Reserve
    - 2 mandatory rulemakings, 1 authoritative rulemakings
  - Financial Stability Oversight Council
    - 1 authoritative rulemakings

## **Title IX— Investor Protections and Improvements to the Regulation of Securities**

- 99 rules, 27 studies, 34 Congressional reports
  - SEC
    - 35 mandatory rulemakings, 51 authoritative rulemakings
    - 24 studies
    - 31 reports
  - GAO
    - 10 authoritative rulemakings
    - 3 studies
    - 3 reports
  - Federal banking agencies
    - 2 mandatory rulemakings, 1 authoritative rulemaking

## **Title X— Bureau of Consumer Financial Protection**

- 90 rules, 6 studies, 12 Congressional reports
  - Consumer Financial Protection Board
    - 41 mandatory rulemakings, 29 authoritative rulemakings
    - 5 studies
    - 11 reports
  - FTC
    - 5 mandatory rulemakings
  - Federal agencies
    - 1 mandatory rulemaking
  - State regulators
    - 1 mandatory rulemaking
  - CFTC
    - 1 mandatory rulemaking
  - SEC
    - 10 mandatory rulemakings
  - Office of the Comptroller of the Currency
    - 2 mandatory rulemakings
    - 1 report
  - GAO
    - 1 study

## **Title XI— Federal Reserve System Provisions**

- 9 rules, 0 studies, 3 Congressional reports
  - FTC
    - 1 mandatory rulemaking; 5 authoritative rulemakings
    - 3 reports
  - FDIC
    - 2 mandatory rulemakings, 1 authoritative rulemakings

## **Title XII— Improving Access to Mainstream Financial Institutions**

- 6 rule, 0 studies, 1 Congressional report
  - Treasury
    - 2 mandatory rulemakings, 4 authoritative rulemakings
    - 1 report

**Title XIII— Pay It Back Act**

- 5 rules, 0 studies, 2 Congressional reports
  - FTC
    - 5 authoritative rulemakings
    - 2 reports

**Title XIV— Mortgage Reform and Anti-Predatory Lending Act**

- 36 rules, 7 studies, 7 Congressional reports
  - FTC
    - 8 mandatory rulemakings, 18 authoritative rulemakings
    - 4 studies
    - 3 reports
  - FFIEC
    - 5 mandatory rulemakings
    - 1 report
  - GAO
    - 5 authoritative rulemakings
    - 3 studies
    - 3 reports

**Title XV— Miscellaneous Provisions**

- 5 rules, 2 studies, 4 Congressional reports
  - SEC
    - 1 mandatory rulemaking, 1 authoritative rulemaking
    - 2 reports
  - Office of the Comptroller General
    - 3 authoritative rulemakings
    - 2 studies
    - 2 reports

**Title XVI— Financial Crisis Assessment and Fund**

- 3 rules, 0 reports, 0 studies
  - FTC
    - 3 authoritative rulemakings